

**APPLICATION FOR  
REGISTRATION OF MANUFACTURERS OR  
DISTRIBUTORS OF GAMBLING EQUIPMENT**

Date Cashiered	
Fee Received	
<b>For Commission Use Only</b>	

Please read the instructions listed on this form. Type or print legibly in ink an answer for each question. If a question does not apply to you, please indicate with "N/A". **PLEASE SEND COMPLETED APPLICATIONS TO: CGCC at 2399 Gateway Oaks Drive, Suite 100, Sacramento, CA 95833-4231**

<b>PLEASE TYPE OR PRINT ALL INFORMATION</b>			<input type="checkbox"/> New	<input type="checkbox"/> Renewal									
<b>PART I</b>	Registration Type: Check One _____ Class A (include registration fee with application) _____ Class B												
	APPLICANT NAME		PHONE NUMBER ( )										
	PRINCIPAL PLACE OF BUSINESS ADDRESS												
	MAILING ADDRESS (IF DIFFERENT THAN BUSINESS ADDRESS)												
	FACSIMILE NUMBER ( )	FEDERAL EMPLOYER IDENTIFICATION NUMBER (if any)	SOCIAL SECURITY NUMBER (If no FEIN)										
	ADDITIONAL GAMBLING EQUIPMENT BUSINESS LOCATION(S) WITHIN CALIFORNIA, INCLUDING STORAGE FACILITIES (if any)												
	BUSINESS ACTIVITY STATEMENT WITH REGARDS TO GAMBLING EQUIPMENT (PLEASE MARK EACH BOX THAT APPLIES): <table border="0"><tr><td><input type="checkbox"/> MANUFACTURING (INCLUDING THE ASSEMBLY, PRODUCTION, PROGRAMMING, OR MODIFICATION OF)</td><td><input type="checkbox"/> TESTING</td></tr><tr><td><input type="checkbox"/> DISTRIBUTING</td><td><input type="checkbox"/> REPAIRING</td></tr><tr><td><input type="checkbox"/> SELLING</td><td><input type="checkbox"/> REFURBISHING</td></tr><tr><td><input type="checkbox"/> LEASING</td><td><input type="checkbox"/> STORING</td></tr><tr><td><input type="checkbox"/> INSPECTING</td><td></td></tr></table>				<input type="checkbox"/> MANUFACTURING (INCLUDING THE ASSEMBLY, PRODUCTION, PROGRAMMING, OR MODIFICATION OF)	<input type="checkbox"/> TESTING	<input type="checkbox"/> DISTRIBUTING	<input type="checkbox"/> REPAIRING	<input type="checkbox"/> SELLING	<input type="checkbox"/> REFURBISHING	<input type="checkbox"/> LEASING	<input type="checkbox"/> STORING	<input type="checkbox"/> INSPECTING
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<input type="checkbox"/> SELLING	<input type="checkbox"/> REFURBISHING												
<input type="checkbox"/> LEASING	<input type="checkbox"/> STORING												
<input type="checkbox"/> INSPECTING													
<b>PART II</b>	If more space is necessary or more information included with the registration, please indicate with an X in the "Additional Information Attached" box for that section. Please indicate on attached sheet(s) which information section the attachment is intended to supplement.			Additional Information Attached									
	1. If applicant is a business entity: name, mailing address, phone number, facsimile number (if any), of chief executive officer, or other person designated by the entity to serve as the entity's representative.												
	2. If principal place of business is located outside of California, enclose copy of current gaming licensure in the jurisdiction in which it is located to manufacture or distribute gambling equipment, or a statement that gaming licensure is not required by the jurisdiction in which it is located.												
	3. Statement of registration with United States government as required by Title 15, United States Code, section 1173 or statement that registration is not required.												
	4. Have you designated an agent for service of process with the California Secretary of State? If so, name of designated agent for service of process:												
	5. A. Do you sell, lease, inspect, test, repair, refurbish, or store only slot machines or devices which are "antique slot machines" within the definition of Penal Code 330.7? B. Are you otherwise a manufacturer or distributor as defined in 4 CCR sec. 12300(b)(7)?												
6. Within my personal knowledge, the foregoing information is accurate and complete.													
<b>PART III</b>	<b>I declare under penalty of perjury under the laws of the State of California that the foregoing is true, and correct.</b>												
	Signature of Applicant's Designated Representative			Date									
	Typed or Printed Name of Applicant's Designated Representative												
	Title												

## **REGISTRATION OF MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT INSTRUCTIONS**

The regulations contained in Title 4, California Code of Regulations, sections 12300 through 12310 require manufacturers or distributors of gambling equipment to apply for registration with the California Gambling Control Commission (Commission or CGCC).

Section 12300(b)(5) defines “gambling equipment” as follows:

“any slot machine or device as defined in section 330b or 330.1 of the Penal Code. ‘Gambling Equipment’ also includes (A) any essential part and (B) any inoperable slot machine or device that is substantially complete and repairable or that can be made operable with the installation of one or more essential parts. Any reference to slot machines or devices has the meaning defined in Penal Code sections 330b and 330.1.”

Section 12300(b)(7) defines “manufacturer or distributor” as follows:

“any person that manufactures, including the assembly, production, programming, or modification of, distributes, sells, leases, inspects, tests, repairs, refurbishes, or stores gambling equipment in this state or for use in this state. Manufacturer or distributor includes, in addition to in-state manufacturers and distributors, persons performing these functions in a location outside of this state with respect to gambling equipment intended for operation in this state.

The application form requires the following information:

1. Registration type: Indicate Class A or Class B. [Section 12300(b)(2).]
  - a. Class B registration applies to any manufacturer or distributor that has no place of business in the State of California and that does not transport gambling equipment to a destination within the State of California, other than transportation of gambling equipment from an out-of-state location to a tribal gaming facility in this state.
  - b. All other registrations are Class A.
2. Name, address of principal place of business (whether located within or outside California), telephone and facsimile numbers, and mailing address (if different from the address of the principal place of business).
3. Federal Employer Identification Number (“FEIN”) or Social Security Number, if no FEIN.  
*If you do not have an “FEIN” number, disclosure of your social security number is mandatory. Section 19841(a) of the Business and Professions Code authorizes collection of your social security number. If you fail to disclose your social security number, your application will not be processed. Your social security number will be used exclusively for identification purposes or for purposes of compliance with any judgment or order for family support in accordance with Section 17520 of the Family Code.*
4. Gambling equipment business location(s) within California, including storage facilities (if applicable).
5. Part I. Business Activity Statement - A description of the types of gambling equipment businesses conducted by the applicant: manufacturing (i.e., assembly, production,

programming, or modification), distributing, selling, leasing, inspecting, repairing, or storing. Indicate all categories that apply.

6. If the applicant is a business entity, the name, mailing address, voice telephone number, and facsimile telephone number (if any) of the chief executive officer, or other person designated by the entity to serve as the entity's representative.
7. If the applicant's principal place of business is located outside of this state, provide a copy or other evidence of current gaming licensure in the jurisdiction in which the applicant is located to manufacture or distribute gambling equipment, or submit a statement that gaming licensure is not required by the jurisdiction in which the applicant is located.
8. A copy of the applicant's current registration with the United States Attorney General pursuant to the Gambling Devices Act of 1962 (the Johnson Act), Title 15 United States Code section 1173, if registered. If not registered, include a statement that the applicant is not required to register under the Gambling Devices Act of 1962, Title 15 United States Code section 1173.
9. If an agent for service of process has been designated with the California Secretary of State, indicate the name of that designated agent. [California Corporations Code section 1505.] (An agent for service of process is a person authorized to receive the summons used to initiate a legal action).
10. Signature of the applicant's designated representative. (This individual would be the person designated to represent the entity for the purpose of applying for registration.)
11. Except as noted below, a non-refundable \$500 application fee for Class A registration. A nonrefundable application fee of forty dollars (\$40) shall be submitted by any manufacturer or distributor applying for Class A registration that sells, leases, inspects, tests, repairs, refurbishes, or stores ONLY slot machines or devices which are "antique slot machines" within the meaning of Penal Code section 330.7, provided that this provision does not apply to a person that is otherwise a manufacturer or distributor. (Section 12301(b)(10)(B).)

The information can be *mailed* to:

**California Gambling Control Commission  
Licensing and Compliance Division  
2399 Gateway Oaks Drive, Suite 100  
Sacramento, CA 95833-4231**

***CGCC strongly encourages all manufacturers and distributors to read the regulations published in the California Code of Regulations: Title 4, sections 12300 through 12310. The text of the regulations may also be found on the CGCC website: [www.cgcc.ca.gov](http://www.cgcc.ca.gov), under "Laws and Regulations."***